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AMEND Applicant(s): K	ENDMENT TRANSMITT AL LETTER (Large Entity)  : Kausik				Docket No. 028410-0009	
Serial No 09/437,065 Invention:		g Date 9, 1999 QEMA	Examiner Unknown		Group Art Unit 2766	
I 👺	M for Secure Authentica	ited Payment on a C	omputer Network			
			IISSIONER FOR PATI	ENTS:		
A	with is an amendment ir calculated and is trans					
	·	CLAIMS AS	AMENDED			
-	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	58 -	20 =	38	x \$18.00		
INDEP. CLAIMS	8 -	3 =	5	x \$78.00		
intention depondent diame (oriental applicable)					\$0.00	
	TOTAL ADDITIONAL FEE FOR THIS AMENDMENT \$1,074.00					
□ Please ch A duplicat ☑ A check ir ☑ The Comr communic A duplicat ☑ Any a	onal fee is required for a parge Deposit Account Nate copy of this sheet is en in the amount of \$1,074 missioner is hereby autication or credit any over the copy of this sheet is en additional filing fees required	No. enclosed. 4.00 to cover the fil horized to charge pa rpayment to Deposit enclosed. quired under 37 C.F.	ayment of the following t Account No. 19-238		RECEIVED  SEP 29 2000 ited with this	
Joseph Yang, Ph.	Signature D., Reg. No. 41,387		Dated: September 2	1, 2000		
Skadden, Arps, S 525 University Av Palo Alto, Califor Telephone: (650) Facsimile: (650) 4	nia 94301 470-4500	LP	on first class m Assistant C 20231. (See att ing by	ail under 37 C.F. commissioner for cached Cert Express Ma	at and fee is being deposited with the U.S. Postal Service as R. 1.8 and is addressed to the r Patents, Washington, D.C. cificate of Mail-ail.)  Mailing Correspondence	

cc:

Typed or Printed Name of Person Mailing Correspondence

Docket No. **CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)** 028410-0009 Applicant(s): Kausik Filing Date Examiner Group Art Unit Serial No. Nov. 9, 1999 Unknown 2766 09/437,065 Invention: Method and System for Secure Authenticated Payment on a Computer Network I hereby certify that this Amendment Transmittal Letter (Large Entity) (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on September 21, 2000 (Date) Jan Steele (Typed or Printed Name of Person Mailing Correspondence) Person Mailing Correspondence) EL 441 851 754 US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.

Skadden, Arps, Slate, Meagher & Flom LLP 525 University Avenue

Palo Alto, California 94301 Telephone: (650) 470-4500 Facsimile: (650) 470-4570





Atty. Docket 028410-0009

## In the United States Patent and Trademark Office

In re Application of:

Assignee:

Arcot Systems, Inc.

Inventor(s):

Balas Natarajan Kausik, Ph.D.

Serial No.:

09/437,065

Filing Date:

November 9, 1999

Title:

Method and System for Secure

Authenticated Payment on a

Computer Network

Not Yet Assigned Examiner:

Certificate of Mailing Under 37 C.F.R. 1.10

Pursuant to 37 C.F.R. 1.10, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" (Label No. EL 441 851 754 US) on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: September 21, 2000

Name of Person Mailing: Jan Steele

## PRELIMINARY AMENDMENT

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

Before considering the above-identified application on its merits, please amend the application as follows:

IN THE CLAIMS:

09/28/2000 GTEFFERA 00000035 09437065

02 FC:102

684.00 OP 390.00 OP

Please add the following new claims 2-58:

A method for authorizing an electronic purchase in a networked computer environment, comprising the steps of:

- receiving, from a merchant, a transaction authorization request including a digital certificate passed through said merchant from a user involved in said transaction,
  - said digital certificate including a financial account datum associated with (i) said user, at least a portion of which is confidential from said merchant,
  - (ii) said digital certificate conveying a binding between at least a portion of said financial account datum and a public key of said user;

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